

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Mark Harris

Examiner: Q. Nguyen

Application No.

: 10/018,378

Group Art: 2642

Filing Date

: December 18, 2001

Docket No.: 26769.6

Confirmation No.

: 7906

Title

: NETWORK ADDRESSING SYSTEM AND METHOD USING SAME

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Application Number	10/018,378
Filing Date	December 18, 2001
First Named Inventor	Mark Harris
Art Unit	2642
Examiner Name	Q. Nguyen
Attorney Docket Number	26760.6

Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication |√| to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a **Proprietary Information Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm W. Scott Harders, Reg. No. 42,629 Individual name Signature Date 28 MARCH ZOUS 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name W. Scott Harders

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Application Number	10/018,378
Filing Date	December 18, 2001
First Named Inventor	Mark Harris
Examiner Name	Q. Nguyen
Art Unit	2642
Attorney Docket No.	26769.6

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1004 770	2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160	2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1	SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
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Z. EXTRA C	CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
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SUBMITTED BY				(Complete	(if applicable))
Name (Print/Type)	W. Scott Harders	Registration No. (Attorney/Agent)	42,629	Telephone	
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In re application of

HARRIS, Mark

Examiner

NGUYEN, Q.

Application No.

10/018,378

Group Art

2642

Filing Date

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Docket No.

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Title

NETWORK ADDRESSING SYSTEM

AND METHOD USING SAME

Mail Stop Appeal Brief - Patents Board of Patent Appeals and Interferences United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

APPEAL BRIEF

Sir/Madam:

The following Appeal Brief is submitted pursuant to the Notice of Appeal filed January 26, 2005 in the above-identified application. This Appeal Brief, filed within two months of the filing date of the Notice of Appeal with a proper certificate of mailing, is therefore timely filed. This is an appeal from the decision of the Examiner mailed July 27, 2004, finally rejecting claims 1-14. The fees required under 37 CFR § 1.17, are detailed and properly paid as stated in the accompanying Fee Transmittal Form. This Appeal Brief is filed in triplicate (37 CFR § 1.192(a)).

03/31/2005 HALI11 00000016 10018378

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Serial No.: 10/018,378

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TABLE OF AUTHORITIES

Cases In re Fulton 6 In re Beattie 6 Winner Int'l Royalty Corp. v. Wang 6 Ex parte Levengood 6 Statutes 35 U.S.C. § 103 4, 5, 6, 7 Other Authorities MPEP § 2143 5 MPEP § 2143.01 6

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I. REAL PARTY IN INTEREST

Mark J. Harris, the party named in the caption of this Appeal Brief is the real party in

interest.

II. RELATED APPEALS AND INTERFERENCES

There are no related appeals or interferences.

III. STATUS OF CLAIMS

All Claims, 1-14, have been finally rejected under 35 U.S.C. § 103. Claims 1-14 remain

pending and are on appeal (see Section IX, Claims Appendix).

IV. STATUS OF AMENDMENTS

No amendments were filed subsequent to the final Office Action, mailed July 27, 2004

(Exhibit A, hereafter "Final Office Action").

V. <u>SUMMARY OF CLAIMED SUBJECT MATTER</u>

In a particular embodiment, the application relates to employing telephone numbers,

ordered in a typical way, as a domain name to identify a desired device.

The claimed subject matter includes receiving a telephone number portion identifying a

device, such as a telephone or network connected device, and converting the telephone number

portion into a multiple level domain name identifying the device over a network. Among others,

the multiple level domain name includes a plurality of domains corresponding to the telephone

number portion and a base portion. The plurality of domains corresponding to the telephone

number portion are arranged in an order or sequence corresponding to the telephone number

portion.

VI. GROUNDS OF REJECTION TO BE REVIEWED ON APPEAL

Claims 1 -14 have been rejected under 35 U.S.C. § 103 as being obvious in light of the

single reference, U.S. Patent No, 5,974,453 to Anderson et al. (Exhibit B, hereafter "Anderson

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'453"). The Office concedes that the only reference, Anderson '453, does not suggest that the plurality of domains are arranged in an order corresponding to the telephone number. Improperly, the Office never identifies the reference that does suggest the missing element.

VII. ARGUMENT

Claims 1 - 14 are not obvious in light of Anderson '453 under 35 U.S.C. § 103.

A. Brief Discussion of Reference

Anderson '453 describes a method and system for translating a static identifier, such as a telephone number, into a dynamically assigned network address (Anderson '453, Abstract). Anderson '453 reverses components of the static telephone number so that more geographically general identifiers are located "to the right" of the network address. In other words, while telephone numbers are arranged with the most general geographic identifier to the "left" (e.g. country code, area code, exchange, number) due to the numbering plan employed by the public switched telephone network, Anderson '453 reverses this convention becoming more geographic general toward the "right" of the domain name (Anderson '453, column 6, line 63 – column 7, line 4) to mirror the convention of the Domain Name System. As a result, the domain name produced by Anderson '453 may incorporate elements of a phone number, but the elements are scrambled (compare, Anderson '453 Figure 4, block 400 (phone number) and block 405 (domain name)).

B. Argument

1. The reference of record fails to disclose all the claim limitations

In order to establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art, and not based on applicant's disclosure. MPEP § 2143.

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In the Final Office Action, the Office explicitly admits that Anderson '453 does "not suggest the plurality of domains corresponding to the telephone number portion are arranged in an order corresponding to the telephone number portion" (Exhibit A, Final Office Action, page 2). The Office to date has not offered a reference that does teach or identify a suggestion to make the modification. This alone is sufficient to merit reversal of all the rejections under review because the Office has not yet made out a *prima facie* case of obviousness.

As such, Applicant respectfully submits that the rejection under 35 U.S.C. § 103 is improper and the claims are not rendered obvious by Anderson '453.

2. There is no suggestion to make the proposed modification

A proper obviousness rejection requires the Office to identify a basis in the prior art for the proposed modification. The teaching or suggestion must be found in the prior art, not in applicant's disclosure. MPEP § 2143.01. Recently the Federal Circuit summarized the law in this area in *In re Fulton*. There the court noted that, "the prior art as a whole must 'suggest the desirability' of the combination.' *In re Beattie*, 974 F.2d 1309, 1311 (Fed. Cir. 1992); *Winner Int'l Royalty Corp. v. Wang*, 202 F.3d 1340 (Fed. Cir. 2000) ('Trade-offs often concern what is *feasible*, not what is, on balance, *desirable*. Motivation to combine requires the latter.'). The source of the teaching, suggestion, or motivation may be 'the nature of the problem,' 'the teachings of the pertinent references,' or 'the ordinary knowledge of those skilled in the art.' *In re Rouffet*, 149 F.3d at 1355." *In re Fulton*, 2004 U.S. App. LEXIS 24815 (Fed. Cir., December 2, 2004).

In the present application, claim 1 calls for the plurality of domains to be "arranged in an order corresponding to the telephone number portion." The Office correctly notes this element is missing in Anderson '453 (Exhibit A, Final Office Action, page 2). However, the Office incorrectly asserts that it would be obvious to place the reversed sequence of Anderson '453 in an order corresponding to a telephone number to arrive at the present claims. This rejection is improper for at least two reasons.

First, the Office has failed to supply a basis for making the modification. Obviousness requires "some objective reason to combine the teachings of the references." *Ex parte Levengood*, 28 USPQ2d 1300 (Bd. Pat. App. & Inter., 1993). In the Final Office Action the

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Office merely concludes without any analysis or support that the proposed modification is obvious (Exhibit A, Final Office Action, page 3). Because no basis for the modification is provided, the rejection is improper.

Second, Anderson '453 fails to suggest the desirability of the modification. The *Fulton* court's requirement that motivation to combine requires that the proposed changes be desirable, as opposed to merely feasible, clarifies the shortcoming of the Office's position. Anderson '453 lists among the problems it sought to overcome the "inability to scale" (Exhibit B, Column 1, line 49, 50). With this problem in mind, Anderson '453 notes in the Detailed Description that "[o]ne great advantage of this [described] system is that scaling is possible by further dividing the dir-con domain into further subdomains. For example, a hybrid DNS server may serve each area code...." (Exhibit B, Column 5, line 33-36). In other words, Anderson '453 describes a system that scales by assigning sub-domains in order of general increasing geographic specificity – from top level domain, to country, to area code, to number. Anderson'453 does not even mention in passing the possibility – let alone the desirability – that the phone number could be maintained in an order corresponding to the telephone number.

As such, Applicant respectfully submits that the rejection of claim 1 and claims 2-6 depending therefrom under 35 U.S.C. § 103 is improper, and the claims are not rendered obvious by Anderson '453.

Claim 7 calls for receiving a static, multiple level domain name arranged in an order corresponding to that of the telephone number portion. The Office has considered claim 7 in connection with claim 1, and thus concedes that Anderson '453 fails to teach or fairly suggest this limitation (Exhibit A, Final Office Action, page 2). Thus, for the reasons above, the rejection of claim 7 and claims 8 and 9 depending therefrom under 35 U.S.C. § 103 is improper and the claims are not rendered obvious by Anderson '453.

Claim 10 calls for an apparatus that receives a telephone number portion identifying a second device, and that converts the received telephone number portion into a static multiple level domain name identifying the second device on the network while <u>preserving sequencing of the telephone number portion</u>. The Office considered claim 10 with claim 1 despite the different claim language, and thus must be held to the admission that Anderson '453 does not teach the

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claim as presented. Nothing in Anderson '453 suggests that the sequencing of the telephone number be preserved, and in fact discusses in every embodiment that the number is reversed. Thus, for the reasons above, the rejection of claim 10 and claims 11 – 14 depending therefrom under 35 U.S.C. § 103 is improper, and the claims are not rendered obvious by Anderson '453.

VIII. CONCLUSION

Appellant submits that the pending claims are allowable and urges allowance of the claims at an early date.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment to Deposit Account No. 02-2051, referencing Attorney Docket No. 26769-6.

Respectfully submitted,

Dated: 28 MARCH ZOOK

By: W. Scott Harders

Registration No. 42,629

BENESCH, FRIEDLANDER, COPLAN & ARONOFF, LLP

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Serial No.: 10/018,378

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IX. CLAIMS APPENDIX

IN THE CLAIMS:

1. (Previously Presented) A method comprising:

receiving a telephone number portion identifying a device;

converting the telephone number portion into a multiple level domain name identifying

the device over a network, the multiple level domain name comprising a plurality of domains

corresponding to the telephone number portion and a base portion, where the plurality of

domains corresponding to the telephone number portion are arranged in an order corresponding

to the telephone number portion; and

establishing communication with the device via the multiple level domain name over the

network.

2. (Original) The method as set forth in claim 1, where the telephone number portion of the

multiple level domain name is subordinated to the base portion.

The method as set forth in claim 2, where the base portion of the multiple level 3. (Original)

domain name comprises a base level domain.

4. (Original) The method as set forth in claim 1, where the converting step comprises:

adding domain separators to the received telephone number portion at determinable

locations in the received telephone number portion.

5. (Original) The method as set forth in claim 1, where the received telephone number portion

comprises a separator, the converting step comprising:

parsing the received telephone number portion for the separator; and

inserting a domain separator for the parsed separator.

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6. (Original) The method as set forth in claim 1, further comprising:

appending additional domain levels to the converted telephone number portion to complete the multiple level domain name.

7. (Previously Presented) A method of communicating over a network comprising:

receiving from a first device a static, multiple level domain name at least partially derived from a telephone number portion identifying a second device the multiple level domain name being arranged in an order corresponding to that of the telephone number portion;

determining availability of the second device on the network; and

in response to the determining step, selectively establishing communications from the first device to the second device.

- 8. (Original) The method as set forth in claim 7, further comprising: establishing communications from the second device to the first device.
- 9. (Original) The method as set forth in claim 7, where the determining availability step comprises:

querying the second device over the network; and receiving a response from the second device indicative of second device availability.

10. (Previously Presented) An apparatus to establish communication between at least two devices over a network, the apparatus comprising a processor which receives from a first device a telephone number portion identifying a second device, and which converts the received telephone number portion into a static multiple level domain name identifying the second device on the network while preserving sequencing of the telephone number portion.

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11. (Original) The apparatus as set forth in claim 10, where the processor further establishes

communication with the second device over the network.

12. (Original) The apparatus as set forth in claim 10, further comprising a table which matches

the static multiple level domain name to an IP address.

13. (Original) The apparatus as set forth in claim 10, where the processor further adds domain

separators to the received telephone number portion at determinable locations to result in the

static multiple level domain name.

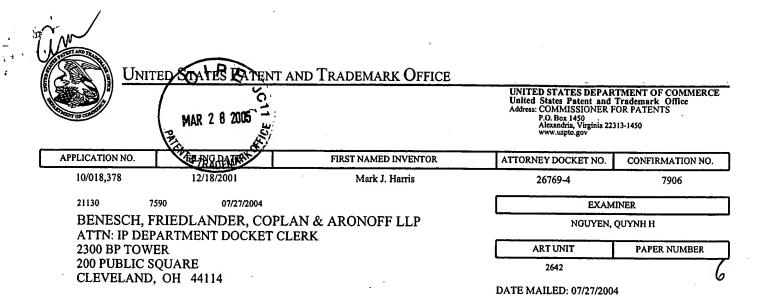
14. (Original) The apparatus as set forth in claim 10, where the received telephone number

portion comprises a separator, and where the processor parses the received telephone number

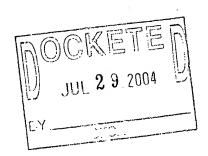
portion for the separator and inserts a domain separator for selected instances of the parsed

separator.

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Please find below and/or attached an Office communication concerning this application or proceeding.



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MAR 2 8 2005	Application No.	Applicant(s)		
	10/018,378	HARRIS, MARK J.		
Office Action Summery	Examiner	Art Unit		
	Quynh H Nguyen	2642		
The MAILING DATE of this communication app		correspondence address		
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filled, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filled on Amendment filled 5/17/04. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-14 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.				
5) ☐ Claim(s) is/are allowed. 6) ☑ Claim(s) <u>1-14</u> is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or				
Application Papers				
 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. 				
Priority under 35 U.S.C. § 119				
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 				
Attachment(s)				
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:			

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DETAILED ACTION

Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Andersen et al. (U.S. Patent 5,974,453).

Regarding claims 1, 10, and 11, Andersen et al. teach receiving a telephone number portion identifying a device (phone number 011-123-456-7890 identifying device 115); converting ("rearranging") the telephone number portion into a multiple level domain name identifying the device over a network, the multiple level domain name comprising a plurality of domains corresponding to the telephone number portion and a base portion (col. 3, lines 29-41, for example, 7890.456.123.011.dir-con.com); and establishing communication with the device via the multiple level domain name over the network (col. 3, lines 37-48).

However, Andersen et al. do not suggest the plurality of domains corresponding to the telephone number portion are arranged "in an order" corresponding to the telephone number portion.

On one hand, "an order" corresponding to the telephone number would read on the reverse "order" taught by Andersen. A "reverse order" is still an "order". On the

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other hand, it would have been obvious to one of ordinary skill in the art at the time the invention was made that the domains corresponding to the telephone number portion be may arranged in "an order" corresponding directly and exactly to the telephone number portion, or in a reverse order. Obviously, either "order" may be chosen without departing from the teachings of Andersen.

Regarding claim 2, Andersen et al. teach the telephone number portion of the multiple level domain names is subordinated to the base portion, for example, 7890.456.123.011.dir-con.com.

Regarding claim 3, Andersen et al. teach the base portion of the multiple level domain names comprise a base level domain, for example, .com.

Regarding claims 4 and 13, Andersen et al. teach adding domain separators to the received telephone number portion at determinable locations in the received telephone number portion ("adding the periods" - col.5, lines 63-67).

Regarding claims 5 and 14, Andersen et al. teach parsing the received telephone number (Fig. 5, 500) portion for the separator ("arrange static identifier to form DNS device name"); and inserting a domain separator for the parsed separator (Fig. 5, 515).

Regarding claim 6, Andersen et al. teach appending additional domain levels to the converted telephone number portion to complete the multiple level domain name (col. 8, lines 12-15).

Claims 7 and 8 are rejected for the same reasons discussed above with respect to claim 1. Furthermore, Andersen et al. teach determining availability of the second device the m on the network (col. 8, lines 19-31); and in response to the determining

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step, selectively establishing communications from the first device to the second device (col. 8, lines 44-51).

Regarding claim 9, Andersen et al. teach querying the second device over the network; and receiving a response from the second device indicative of second device availability ("the device 115 is transmitting keep-alive signals") (col. 7, lines 47-64).

Regarding claim 12, Andersen et al. teach matching the static multiple level domain names to an IP address (col. 3, lines 29-40).

Response to Arguments

3. Applicant's arguments with respect to claims 1-14 have been considered but are moot in view of the new ground(s) of rejection. Applicant's arguments are addressed in the above claims rejection.

Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Quynh H. Nguyen whose telephone number is 703-305-5451. The examiner can normally be reached on Monday - Thursday from 6:30 A.M. to 5:00 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ahmad Matar, can be reached on (703) 305-4731. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-4700.

qhn

Quynh H. Nguyen July 22, 2004 AHMAD MATAR SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2600

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